

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

11677/01402 (DSC-12)

First Inventor

Robert W. STUCKEL et al.

Title

Credit Card  
Cutting Machine

Express Mail Label No.

EV 048155430 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 15]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Sheets 4]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS Citations  
Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Transmittal Letter (2 pages)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: .....

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



Customer Number:

26114

OR

☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Douglas A. Sorensen

Registration No. (Attorney/Agent)

31,570

Signature

Date

09/24/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

10/669581

09/24/03

# FEE TRANSMITTAL for FY 2003

*Effective 01/01/2003. Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) 948.00

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Robert W. STUCKEL et al.
Examiner Name	
Art Unit	
Attorney Docket No.	11677/01402 (DSC-12)

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number: 18-1260  
Deposit Account Name: Sidley Austin Brown & Wood LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	750
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					750

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims: 31  
Independent Claims: 3  
Multiple Dependent: 0

Extra Claims: 11  
Fee from below: 0

Fee Paid: 198

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					198

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES


Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 40

### SUBMITTED BY

Name (Print/Type): Douglas A. Sorensen  
Signature: 

Registration No. (Attorney/Agent): 31,570

### (Complete if applicable)

Telephone: 214-981-3300  
Date: 9/24/2003

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# SIDLEY AUSTIN BROWN & WOOD LLP

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September 24, 2003

## MAIL STOP PATENT APPLICATION

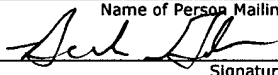
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

EXPRESS MAIL MAILING LABEL No.: EV 048155430 US  
DATE OF DEPOSIT: SEPTEMBER 24, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: MAIL STOP PATENT APPLICATION, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Derrick Gordon

Name of Person Mailing Paper or Fee



Signature

SEPTEMBER 24, 2003

Date of Signature

Re: **New U.S. Patent Application Transmittal**  
For: **CREDIT CARD CUTTING MACHINE**  
By: **Robert W. STUCKEL, Kimberly Sue WILSON and**  
**Nicole Marie MACHNOWSKI**  
Attorney Docket No.: 11677/01402 (DSC-12)

Dear Sir:

This Utility Patent Application Transmittal is submitted in connection with a CREDIT CARD CUTTING MACHINE, by Robert W. STUCKEL, Kimberly Sue WILSON and Nicole Marie MACHNOWSKI, inventors, and is related to U.S. Provisional Patent Application Serial No. 60/435,802 filed December 20, 2002:

Enclosed herewith are the following:

### APPLICATION ELEMENTS:

- 1) A duplicate copy of this Transmittal Letter (containing a breakdown of amount of fees due and authorization to charge Deposit Account No. 18-1260);
- 2) Specification (15 pages, including 31 claims and an abstract);
- 3) Drawings (Figs. 1-4, 2 sheets);
- 4) Executed Declaration and Power of Attorney (4 pages);
- 5) Form PTO/SB05, Utility Patent Application Transmittal (1 page); and
- 6) Form PTO/SB/17, Fee Transmittal for FY 2003, with authorization to charge Deposit Account No. 18-1260, 1 page in duplicate).

**MAIL STOP PATENT APPLICATION**

Commissioner For Patents

September 24, 2003

Page 2

**ACCOMPANYING APPLICATION PARTS:**

- 1) Assignment (5 pages) of the invention to DISCOVER FINANCIAL SERVICES, INC. and Recordation Form Cover Sheet (1 page, *in duplicate*) with authorization to charge recordal fee to Deposit Account No. 18-1260.

The amount of the filing fee is calculated below:

			Fee for small entity			Fee for other than small entity	
Fee	No. filed	No. extra*	Rate	Fee	OR	Rate	Fee
Basic fee				\$370	OR		\$750
Total claims	31-20=	11	X \$9=	\$	OR	X \$18=	\$198
Independent claims	3-3=	0	X \$42=	\$	OR	X \$84=	\$ -0-
Multiple Dependent Claim Presented	0	0	+\$140=	\$0	OR	+\$280=	\$ -0-
			Total	\$	OR	Total	\$ 948.00


\*If the difference in Column 1 is less than zero, enter "0" in Column 2.

Please charge the required fees in the amount of \$988.00 (\$750.00 for the basic filing fee, \$198.00 for the excess claims fee, and \$40.00 for the assignment recordal fee) to SIDLEY AUSTIN BROWN & WOOD LLP'S Deposit Account No. 18-1260. If additional fees are due, please charge any fees required during the pendency of this application (other than the issue fee) to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

This transmittal letter is being filed in duplicate.

All correspondence is to be directed to the address associated with the **Customer Number 26116**.

Respectfully submitted,

By: 

Douglas A. Sorensen  
Registration No. 31,570  
Attorney for Applicants

DAS/fis  
Enclosures